PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES A0345.0022 DESIGNATED/ELECTED OFFICE (DO/EO/US) APPLICATION NOVI Known 1877 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/006471 16 June 2004 24 June 2003 TITLE OF INVENTION PYRAZOLIDINEDIONE DERIVATIVES APPLICANT(S) FOR DO/EO/US Heinz Fretz et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. 3 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. x An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. x A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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U.STARRAICATION NO. ((known, see 37, CFR 1.5)				INTERNATIONAL APPLICATION NO. PCT/EP2004/006471			ATTORNEY'S DOCKET NUMBER A0345.0022			
20. x Other items or information: Return Receipt Postcard; Front Cover of WO 2005/000281; PCT/IB/304; PCT/RO/101										
International Search Report; Copy of Cited References										
The following fees have been submitted							CA	LCULATION	ıs	PTO USEONLY
21. x Basic national fee (37 CFR 1.492(a))							<b>65</b>	300.0	00	
22. x Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)										
prepared by I All other situation	ndicates a	(4)\$0 \$200	\$	200.0	00					
23. x Search fee (37 CFR 1.492(b))										
				I preliminary examination of PCT Article 33(1)-(4)						
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority								\$ 400.00		
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB										
	าร		-							
			and 23 =				\$	900.0	00	
X Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an										
electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
				****						
Total Sheets	Extra S	heets	ets Number of each additional 50 or fraction RATE thereof (round up to a whole number)							
119 -100 = 19 /50 = 1					x \$250.00	\$	250.0	00		
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).										
CLAIMS NUMBER FILED			NUMBER EXTRA		RATE					
		2 - 20 = 12 x			50.00	600.00				
Independent claims 5 - 3 =		2	X	200.00		400.0	00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +  TOTAL OF ABOVE CALCULATIONS = \$ 2,150.00										
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						·				
						SUBTOTAL =	\$	2,150.0	00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$			
TOTAL NATIONAL FEE =							\$ 2,150.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +										
TOTAL FEES ENCLOSED =						\$ 2,150.00		,150.00		
				Amount to be refunded:		\$				
						Amount to be charged \$				

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a. A check in the amount of \$	to cover the above fees is enclosed. PCT/PTO 23 DEC 2005								
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	ny additional fees which may be required, or credit any overpayment to Deposit copy of this sheet is enclosed.								
d. x Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.									
	12/22/								
SEND ALL CORRESPONDENCE TO:	SIGNATURE DATE								
Izumi Yokoyama, Ph.D. DICKSTEIN SHAPIRO MORIN & OSHINSKY LLI	•								
1177 Avenue of the Americas	Charles E. Miller								
41st Floor New York, New York 10036-2714	NAME								
(212) 835-1489	24,576								
CUSTOMER NUMBER: 32172	REGISTRATION NUMBER								

Application No. (if known): Not Yet Assigned

Attorney Docket No.: A0345.0022

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 059555654 US in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on December 23, 2005

	<b>.</b>				
Sign	ature				
Charles	E. Miller				
Typed or printed name of	person signing Certificate				
24,576	(212) 835-1430				
Registration Number, if applicable	Telephone Number				

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Each paper must have its own certificate of mailing, or this certificate must identify

each submitted paper.

Form PTO-2038 Fee Transmittal

Transmittal letter to the United States Designated-Elected Office

**Application Data Sheet** 

Copy of the International application

**Preliminary Amendment** 

Fron Cover of WO 2005/000281

PCT/IB/304

PCT/RO/101
International Search Report

SR 0/8

Information Disclouse Statement

Copy of Cited References

Post Card

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
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Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. Not Assigned Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** FEE TRANSMITTAL Filing Date December 23, 2005 Heinz Fretz First Named Inventor For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit A0345.0022 TOTAL AMOUNT OF PAYMENT (\$) 2,150.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) x Credit Card Check Money Order None Other (please identify): Deposit Account Number: 50-2215 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 500 200 100 150 250 200 100 100 50 130 65 Design Plant 200 100 300 150 160 80 Reissue 300 500 250 600 300 150 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims** Extra Claims Multiple Dependent Claims Fee Paid (\$) Fee (\$) Indep. Claims Extra Claims Fee (\$) 5 \_\_ -3= \_\_ 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) **Total Sheets** Extra Sheets 1 (round up to a whole number) x 119 - 100 = 19 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 200.00 1633 National Stage Examination Fee - all other ... 1632 National Stage Search Fee - all other situations 400.00 1681 National Stage application size fee - for each ..... 250.00 600.00 1615 National Stage claims - extra total (over twenty) 1614 National Stage claims - extra independent (over . 400.00 SUBMITTED BY Registration No. (212) 835-1430 24,576 Telephone Signature (Attorney/Agent) Charles E. Miller Date December 23, 2005 Name (Print/Type)